



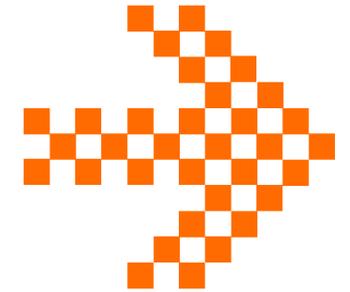
Tech for funds.

seqvoia

insight.
quality.
innovation.
agility.

The investment fund industry is continuously facing numerous, complex and interlinked challenges such as the growing scope of products, ever-changing regulation and the evolving distribution landscape.

Asset managers need **state-of-the-art technologies** to produce the information required.



vision.

What we do

SEQVOIA builds cutting-edge solutions for the fund industry to reduce time-to-market.

We help turn our customers' data into an asset by using seamless integrated processes. We provide simple tools for you to create what you need, when you want it and to deliver it to the right recipients. Our technology has built-in controls to reduce risk, maintain perfect data traceability, ensure legal compliance, deliver data and distribute documents via chosen distribution channels.

How we do it

We use open technology that complies with industry standards to bring your funds to market rapidly. By using open and scalable technology, we can meet your changing needs and industry challenges.

What makes us different from other technology providers

We have assembled a unique team of both technology and industry professionals who bring complementary skills to the table.

- We have years of experience and in-depth insight in the fund industry
- We build scalable technology for funds
- We know the fund industry, their needs and develop the solutions to meet those needs.

SEQVOIA has been delivering state-of-the-art technology for funds since 2012.

SEQVOIA's flagship **fund-D** platform enables its customers to author, produce, translate and distribute statutory and regulatory documents in one seamless process. By using the user-friendly platform, customers can also disseminate data using industry standards.

SEQVOIA also offers the **Registration documentation engine**. Asset managers use it to:

- Accelerate communication with the regulator,
- Standardise approval process, and
- Reduce time-to-market significantly.

In addition, SEQVOIA had developed an artificial intelligence-based solution, **Fund documentation compliance**. Using this sophisticated yet easy-to-use tool, asset managers can extract unstructured data from documents and speed up compliance controls for their funds' documentation.

solutions.

fund·D



Prospectus management

The **fund·D** prospectus module has been designed to integrate seamlessly with prospectus update cycles. It considerably improves your operating model while allowing contributors to continue using a standard Microsoft Word document and all the flexibility it offers. **fund·D** prospectus module:

- Ensures full traceability of all changes applied to the document,
- Ensure consistency between multiple concurrent versions of a prospectus being updated by different teams or persons,
- Guarantees consistent corporate identity across all documents,
- Automates both translation and localisation processes while minimising associated costs and turnaround time (the system only sends what requires translation),
- Delivers professional-looking and secured documents (electronically signed, including metadata), and
- Extracts critical information directly from prospectuses and generates in-depth statistical information about the document and its update cycles.

Overall, with minimal disruption to your operational processes, **fund·D** allows complete traceability over the prospectus, dramatically improves process efficiency, reinforces your corporate image and ensures that critical information presented in prospectuses are reusable.

Leverage fund·D to manage other document types

The **fund·D** prospectus module was originally designed with prospectuses in mind. Since then it has evolved to manage other types of document. **fund·D**'s benefits can also serve the articles of incorporation, application forms and shareholder communications generation. Additionally, **fund·D** can easily accommodate further document types.

KIID production and delivery

SEQVOIA's **fund·D** flagship product has been helping leading asset managers and custodians to produce UCITS KIIDs since 2012. **fund·D** data management capabilities have been further enhanced to support the PRIIPs KID since 2018.

fund·D allows users to :

- Upload, control and consolidate large volumes of data automatically,
- Create customised KIIDs and KIDs efficiently,
- Amend large groups of documents in few clicks,
- Translate and localise the documents autonomously,
- Perform compliance checks on document contents,
- Publish KIIDs and KIDs on SEQVOIA public website,
- Distribute them to third parties, and
- Monitor UCITS and PRIIPs specific indicator evolution and inform users when republication is required.

Quite simply, **fund·D** enables a swift and efficient way to deliver documents while ensuring that they meet regulatory requirements.

Data dissemination

Besides its statutory and regulatory documents production features, **fund·D** can also act as a data hub:

- Consolidating data from multiple sources,
- Controlling it, and
- Disseminating it to relevant third parties.

SEQVOIA has extended its **fund·D** product capabilities to meet asset managers' requirements to deliver European PRIIPs and MiFID II templates (EPT, CEPT (RHP), CEPT (Prices) and EMT), while also supporting industry standards such as OpenFunds.

Registration documentation engine

The **Registration documentation engine** allows customers to efficiently and reliably generate document packages required to complete the approval process with regulators. The **Registration documentation engine** delivers:

- Hassle-free document generation and update. It removes the need to manually fill out all forms and frees up time for our customers to focus on added-value tasks.
- Approval cycle notifications. The solution captures any change in data used to prepare the documents and notifies users whenever an approval cycle is necessary.
- Faster registration approval. The **Registration documentation engine** increases the efficiency of approval cycles preparation by delivering consistent documentation.

Overall, the **Registration documentation engine** grants a competitive edge to asset managers. Through its swift documents preparation capabilities and accelerated approval cycles, it brings funds to market faster.

Fund documentation compliance

As the regulatory environment evolves, so does the complexity of fund literature. There is an ever-growing array of data to maintain, process and control. Faced with this fact, SEQVOIA understands that a shift in the way solutions are designed to support customers is necessary.

As such, SEQVOIA has delivered an Artificial Intelligence-based solution, called **Fund documentation compliance**, which extracts unstructured data out of fund literature and uses this data to carry out multiple compliance controls. The solution:

- Automates time-consuming compliance controls allowing compliance officers to focus on other pressing duties.
- Delivers itemised reports about the controls performed, guiding and accelerating compliance officers review. The report details its opinion regarding the compliance of the document, the source material and data used to come to this conclusion.
- Structures all extracted data and makes it available to customers via bespoke reports. The information extracted from the fund literature enables our customers' operational processes, strengthening consistency across the organisation.

Overall, **Fund documentation compliance** turns the fund literature into an asset, its data into actionable information.



adapt to change.
ability to invent the new.
top-quality people.
in-depth regulatory insight
getting things done right the first time.
deliver what we promise with the highest standards.
cutting-edge technology for asset management.

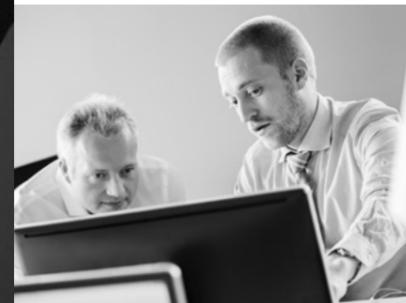
us.

SEQVOIA was founded in 2012 by two entrepreneurs **Nicolas Buck** and **Renaud Jamar**. They started SEQVOIA with the idea of bringing cutting-edge technology to solve the back-office headaches of the asset management industry.

Since then, SEQVOIA has grown to become a leading software provider for the fund industry, which is increasingly turning to RegTech solutions, dedicated to efficiently manage their fund literature.

Today, nearly half of the Top 10 leading asset managers use **fund·D** for part of their fund documentation.

We're conveniently located in Luxembourg, at the heart of the fund industry, from where we serve customers locally and around the world.





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